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**Walker Digital, LLC**

# Fax

**To:** PATENT EXAMINER S. G. RIMELL **From:** Veronika S. Leliever  
**Fax:** 703.746.7239 **Pages:** 35  
**Phone:** 703.306.5626 **Date:** October 18, 2002  
**Re:** App. Serial No. 09/274,281 **Phone:** 203.461.7035

☒ Urgent ☒ For Review ☐ Please Comment ☐ Please Reply ☐ Please Recycle

• **Comments:**

**DUPLICATE of**  
**FORMAL RESPONSE**  
**TO NON-FINAL OFFICE ACTION**

**Re:** Application Serial No. 09/274,281 - Attorney Docket No. 99-006  
**Title:** "METHOD AND APPARATUS FOR PROVIDING CROSS-BENEFITS VIA A  
**CENTRAL AUTHORITY"**

As requested, please find enclosed a copy of the last correspondence submitted to USPTO.

**CERTIFICATE OF TRANSMISSION**

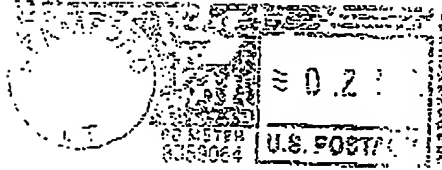
I hereby certify that this correspondence is being  
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October 18, 2002.

Dated: 10/18/2002 By:

*Veronika S. Leliever*  
Veronika S. Leliever

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*Veronika S. Leliever*



Veronika S. Lcliever  
 Walker Digital Corporation  
 Intellectual Property Department  
 Five High Ridge Park  
 Stamford, CT 06905-1326

Assistant Commissioner for Patents:

Date Received:

Applicant: JAY S. WALKER et al.  
 Serial No.: 09/274,281  
 Filing Date: March 22, 1999  
 Title: METHOD AND APPARATUS FOR PROVIDING CROSS-BENEFITS VIA A CENTRAL AUTHORITY

Date Received:

Assistant Commissioner for Patents:

Applicant: JAY S. WALKER et al.  
 Serial No.: 09/274,281  
 Filing Date: March 22, 1999  
 Title: METHOD AND APPARATUS FOR PROVIDING CROSS-BENEFITS VIA A CENTRAL AUTHORITY  
(99-006)

Sir:

Please acknowledge receipt of the following papers by stamping the date received on this card and returning the same to the addressee.

- Transmittal Letter, 1 pg., (x2);
- Amendment Transmittal Letter, 1 pg., (x2);
- Assertion of Small Entity Status, 1 pg.;
- Amendment & Response to Office Action, 17 pp.;
- Information Disclosure Statement, 5 pp.; and
- PTO Form-1449, 6 pp., with references cited, (76).

Date mailed: April 17, 2002.



Official

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0003

**TRANSMITTAL LETTER**  
**(General - Patent Pending)**Docket No.  
99-006

In Re Application Of: JAY S. WALKER et al.

Serial No.  
09/274,281Filing Date  
March 22, 1999Examiner  
S. RimellGroup Art Unit  
2166

Title: METHOD AND APPARATUS FOR PROVIDING CROSS-BENEFITS VIA A CENTRAL AUTHORITY

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Amendment Transmittal Letter, 1 pg., (x2);  
Assertion of Small Entity Status, 1 pg.;  
Amendment and Response to the Non-final Office Action, 17 pp.;  
Information Disclosure Statement, 5 pp.; PTO Form-1449, 6 pp., with references cited therein, (16); and  
Return Receipt Postcard.

in the above identified application.

- ☐ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0271 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$210.00 + \$180.00 SIDS Fee = \$390.00
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Signature

Dated: April 17, 2002

Dean P. Alderucci  
Attorney for Applicants  
PTO Registration No. 40,484  
203.461.7337/phone  
203.461.7300/fax

Customer No. 22927

Alderucci@walkcrdigital.com

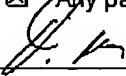
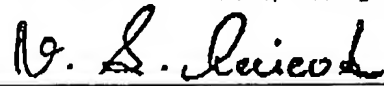
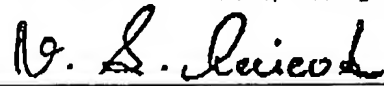
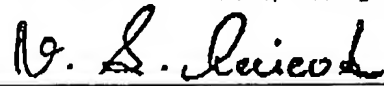
CC:

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Assistant Commissioner for Patents, Washington, D.C.  
20231.

Signature of Person Mailing Correspondence

Veronika S. Leliever

Typed or Printed Name of Person Mailing Correspondence

<b>AMENDMENT TRANSMITTAL LETTER (Small Entity)</b>				Docket No. 99-006							
Applicant(s): JAY S. WALKER et al.											
Serial No. 09/274,281	Filing Date March 22, 1999	Examiner S. Rimell		Group Art Unit 2166							
Invention: METHOD AND APPARATUS FOR PROVIDING CROSS-BENEFITS VIA A CENTRAL AUTHORITY											
<u>TO THE ASSISTANT COMMISSIONER FOR PATENTS:</u>											
Transmitted herewith is an amendment in the above-identified application.											
<input type="checkbox"/> Small Entity status of this application has been established under 37 CFR 1.27 by a verified statement previously submitted.											
<input checked="" type="checkbox"/> A verified statement to establish Small Entity status under 37 FR 1.27 is enclosed.											
The fee has been calculated and is transmitted as shown below.											
<b>CLAIMS AS AMENDED</b>											
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE						
TOTAL CLAIMS	26 -	69 =	0 x	\$9.00	\$0.00						
INDEP. CLAIMS	17 -	12 =	5 x	\$42.00	\$210.00						
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00						
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT</b>					<b>\$210.00</b>						
<input type="checkbox"/> No additional fee is required for amendment.											
<input checked="" type="checkbox"/> Please charge Deposit Account No. 50-0271 in the amount of \$210.00 A duplicate copy of this sheet is enclosed.											
<input type="checkbox"/> A check in the amount of _____ to cover the filing fee is enclosed.											
<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0271 A duplicate copy of this sheet is enclosed.											
<input checked="" type="checkbox"/> Any additional filing fees required under 37 C.F.R. 1.16.											
<input checked="" type="checkbox"/> Any patent application processing fees under 37 CFR 1.17.											
 _____ Signature				Dated: April 17, 2002							
Dean P. Alderucci Attorney for Applicants PTO Registration No. 40,484 203.461.7337/phone 203.461.7300/fax  Customer No. 22927  Alderucci@walkerdigital.com CC:											
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td colspan="2">           I certify that this document and fee is being deposited            April 17, 2002 with the U.S. Postal Service as            first class mail under 37 C.F.R. 1.8 and is addressed to the            Assistant Commissioner for Patents, Washington, D.C.            20231.         </td> </tr> <tr> <td colspan="2" style="text-align: center;">             Signature of Person Mailing Correspondence         </td> </tr> <tr> <td colspan="2" style="text-align: center;">           Veronika S. Leliever            Typed or Printed Name of Person Mailing Correspondence         </td> </tr> </table>						I certify that this document and fee is being deposited April 17, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.		 Signature of Person Mailing Correspondence		Veronika S. Leliever Typed or Printed Name of Person Mailing Correspondence	
I certify that this document and fee is being deposited April 17, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.											
 Signature of Person Mailing Correspondence											
Veronika S. Leliever Typed or Printed Name of Person Mailing Correspondence											

P11SMALLREV08

PATENT

Application No. 09/247,281  
Attorney Docket No.: 99-006

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: WALKER et al.

Serial No.: 09/274,281

Filing Date: March 22, 1999

For: METHOD AND APPARATUS FOR  
PROVIDING CROSS-BENEFITS  
VIA A CENTRAL AUTHORITY) Examiner: S. Rimell  
) Group Art Unit: 2166  
) Attorney Docket No: 99-006  
) Customer No.: 22927  
) Notification of Fee Status Change  
) Pursuant to 37 CFR § 1.27Assistant Commissioner for Patents  
Washington, D.C. 20231

## ASSERTION OF SMALL ENTITY STATUS

Dear Sir:

The assignee of the above-identified application no longer claims large entity status. This notification is made pursuant to 37 CFR §1.27.

If the Examiner has any questions about this status change, the Examiner is invited to contact the undersigned at (203) 461-7337. While no fees are believed due in connection with this paper, please charge any fees that may be required for this paper, or with any other papers filed in connection with this application to Deposit Account No. 50-0271.

Respectfully submitted,

Dean P. Alderucci  
Attorney for Applicants  
Registration No. 40,484  
Walker Digital, LLC  
Five High Ridge Park  
Stamford, CT 06905-1326  
(203) 461-7337 /direct  
(203) 461-7300 /fax  
Alderucci@walkerdigital.comApril 17, 2002  
Date